

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

362-59 PCT/US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/019508

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.  
PCT/JP01/03488

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

## TITLE OF INVENTION

SIDE-EMISSION TYPE SEMICONDUCTOR LIGHT-EMITTING DEVICE AND MANUFACTURING METHOD  
THEREOF

## APPLICANT(S) FOR DO/EO/US

Takehiro FUJII

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
- The US has been elected by the expiration of 19 months from the priority date (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - is attached hereto (required only if not communicated by the International Bureau).
  - has been communicated by the International Bureau.
  - is not required, as the application was filed in the United States Receiving Office (RO/US).
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - is attached hereto.
  - has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - are attached hereto (required only if not communicated by the International Bureau).
  - have been communicated by the International Bureau.
  - have not been made; however, the time limit for making such amendments has NOT expired.
  - have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
- A copy of the International Preliminary Examination Report (PCT/IPEA/409).
- A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A **FIRST** preliminary amendment.
- A **SECOND** or **SUBSEQUENT** preliminary amendment.
- A substitute specification.
- A change of power of attorney and/or address letter.
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
- A second copy of the published international application under 35 U.S.C. 154(d)(4).
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- Certificate of Mailing by Express Mail
- Other items or information:

PCT Application Transmittal (PCT-0077)

Copy of references cited in the International Search Report

Seventeen (17) sheets of Formal Drawings

Return-receipt postcard

Copy of International Publication WO 01/82386

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24. The following fees are submitted.		<b>CALCULATIONS PTO USE ONLY</b>
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) :</b> <ul style="list-style-type: none"> <li><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1040.00</b></li> <li><input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$890.00</b></li> <li><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$740.00</b></li> <li><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$710.00</b></li> <li><input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b></li> </ul>		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>\$890.00</b>
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).		<b>\$0.00</b>
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	16 - 20 =	0
Independent claims	5 - 3 =	2
Multiple Dependent Claims (check if applicable).		<input type="checkbox"/>
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1,058.00</b>
Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.		<b>\$0.00</b>
<b>SUBTOTAL =</b>		<b>\$1,058.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).		<b>\$0.00</b>
<b>TOTAL NATIONAL FEE =</b>		<b>\$1,058.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		<input type="checkbox"/>
<b>TOTAL FEES ENCLOSED =</b>		<b>\$1,058.00</b>
		Amount to be: refunded <input type="checkbox"/> \$ charged <input type="checkbox"/> \$

- a.  A check in the amount of **\$1,058.00** to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **08-2461** A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gerald T. Bodner, Esq.  
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6900 Jericho Turnpike  
Syosset, New York 11791



**23869**  
PATENT TRADEMARK OFFICE

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*Gerald T. Bodner*  
SIGNATURE

**Gerald T. Bodner**

NAME \_\_\_\_\_

**30,449**

REGISTRATION NUMBER \_\_\_\_\_

**21 December 2001**

DATE \_\_\_\_\_